I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: October 2, 2002

Signature: Cirker N. auleum

10-24-02

Docket No.: 30020/37196

Group Art Unit: 2165

Examiner: Not Yet Assigned

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Paul Peterson

Application No.: 09/885,758

Filed: June 20, 2001

For: METHODS AND APPARATUS FOR

PRODUCING A LENTICULAR NOVELTY

ITEM AT A POINT OF PURCHASE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (H)SCEIVED

Commissioner for Patents Washington, DC 20231

OCT 1 5 2002

**Technology Center 2600** 

Dear Sir:

In accordance with 37 CFR 1.97, Applicant hereby makes of record the following additional documents. A PTO Form SB/08 and a full copy of each of these documents accompany this statement.

Applicant has become aware of the following documents, cited in an International Search Report issued September 18, 2002, during the prosecution of international application no. PCT/US02/19190, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and each document cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* 

Application No.: 09/885,758 Docket No.: 30020/37196

as a representation that such document is prior art. Moreover, Applicant understands the Examiner will make an independent evaluation of the cited documents.

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30020/37196. A duplicate copy of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6357 (312) 474-6300

October 2, 2002

By:

Richard H. Anderson Registration No. 26,526



PTO/SB/08A (10-01) Approved for use through 10/31/2002.OMB 0651-0031

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	09/885,758	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	June 20, 2001	
				First Named Inventor	Paul Peterson	
				Art Unit	2165	
	(use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	30020/37196	

	U.S. PATENT DOCUMENTS						
	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
Examiner Initials*		Number-Kind Code <sup>2</sup> (if known)			Passages or Relevant Figures Appear		
		5,731,883	3-24-98	Morton et al.			
		6,185,042	2-6-01	Lomb et al.	ECEIVED		
	l	US 2001/0015753 A1	8-23-01	Myers	COLIVED		
		US 2002/0021832 A1	2-21-02	Dawson	OT 1 E 2002		
					CT 1 5 2002		
				Took	nology Center 2600		
				<u>leci:</u>	Illuly Center 2000		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				T <sup>6</sup>	
						ļ	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST. 16 if possible. <sup>5</sup>Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		International Search Report for PCT/US02/19190 dated 18 September 2002			
	<del> </del>				

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED OCT 1 0 2002 **GROUP 3600** 

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.